JC04 Rec'd PCT/PTO 12 JUL 2005







## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

OTTO SCHNEIDER ET AL.

Examiner:

Unknown

Serial No.:

10/534,652

Filed:

May 12, 2005

For:

HIGHLY CONCENTRATED OIL-IN-WATER

SILICONE EMULSIONS

Attorney Docket No.: WAS 0700 PUSA

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 8, 2005

William G. Conger
Name of Person Signing

Signature

Date of Deposit

Atty Dkt No. WAS 0700 PUSA

S/N: 10/534,652

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

OTTO SCHNEIDER ET AL.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

**BROOKS KUSHMAN P.C.** 

1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400 Fax: 248-358-3351

nless it contains a valid OMB control number.

Patent and Trad

95, no persons are required to respond to a collection of inform

Under the Paperwork Reduction Ad Substitute for Form 1449A/PTO MFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

Sheet

2

Complete if Known				
Application Number	10/534,652			
Filing Date	May 12, 2005			
First Named Inventor	OTTO SCHNEIDER ET AL.			
Group Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	WAS 0700 PUSA			

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. PATENT DOCUMENT  ite Kind Code²		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		6,409,934		Naganawa et al.	6/25/2002		
		5,000,861		Yang	3/19/1991		
		4,182,682		Koerner et al.	1/8/1980		
		5,852,110		Gee	12/22/1998		
		6,294,608		Hager et al.	9/25/2001		
		a				· · · · · · · · · · · · · · · · · · ·	

FOREIGN PATENT DOCUMENTS								
Examiner Cite		Offic	Foreign Patent Docur	nent Kind Code <sup>s</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		DE	196 52 524 A1		Rudolf GmbH & Co.	6/18/1998		
		DE	100 04 321		Wacker-Chemie	8/9/2001		
		EP	0 417 047		Sandoz Ltd.	3/13/1991		
		DE	40 04 946		Wacker-Chemie	8/22/1991		
		DE	3 842 471		Dow Corning	6/29/1989		
		DE	198 35 227		Rudolf GmbH & Co.	2/24/2000		
		EP	0 404 027		Dow Corning	12/27/1990		
		EP	0 459 821		Unilever PLC	12/04/1991		
	I	1	I		1		1	i .

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Patent and Tra

Substitute for Form 1449B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known				
				Application Number	10/534,652			
				Filing Date	May 12, 2005			
			PLICANI	First Named Inventor	OTTO SCHNEIDER ET AL.			
				Group Art Unit	Unknown			
	(use as many sh	eets as	necessary)	Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number	WAS 0700 PUSA			
	OTHER F	RIO	R ART NON P	ATENT LITERATUR	RE DOCUMENTS			
Include name of the author (in item (book, magazine, journal, se			n (book, magazine, journal, se	CAPITAL LETTERS), title of the article (when appropriate), title of the erial, symposium, catalog, etc.), date, page(s), volume-issue number(s), lisher, city and/or country where published.				
			erwent Abstract co	orresponding to DE 196	5 52 524 [AN 1998-			
			erwent Abstract co	orresponding to DE 100	0 04 321 [AN 2001-			
			Derwent Abstract corresponding to EP 0 417 047 [AN 1991-075518]					
			erwent Abstract co	orresponding to DE 4 (	004 946 [AN 1991-			
			erwent Abstract co	orresponding to DE 3 8	842 471 [AN 1989-			
			erwent Abstract co	orresponding to DE 19	835 227 [AN 2000-			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.